

Induction of Functional Control in Chronic Hepatitis B Patients with Low Level HBsAg Using a Combination of a PreS1/S2/S HBV Vaccine (Sci-B-Vac™) and a Nucleoside Analogue

Roggendorf Hedwig^{1*}, Krawczyk Adalbert², Lindemann Monika³, Shouval Daniel⁴, Michler Thomas⁵, Roggendorf Michael⁵ and Gerken Guido⁶

¹Department of Molecular Immunology, University Hospital TUM, Germany

²Department of Virology, University Hospital Essen, Germany

³Department of Transfusion Medicine, University Hospital Essen, Germany

⁴Department of gastroenterology and hepatology, Hadassah-Hebrew University, Israel

⁵Department of Virology, Technical University of Munich, Germany

⁶Department of Gastroenterology and Hepatology, University Hospital Essen, Germany

*Corresponding author: Hedwig R, Department of Molecular Immunology, University Hospital TUM, Germany, Tel: 089-4140-4460; E-mail: hedwig.roggendorf@tum.de

Received date: November 29, 2018; Accepted date: December 9, 2018; Published date: December 15, 2018

Copyright: © 2018 Hedwig R, et al. This is an open-access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Abstract

Background: Treatment regimens for chronic hepatitis B virus (HBV) infection are efficient in suppressing viral load and improving hepatocellular injury and its complications. However, current antiviral agents such as nucleos(t)ide analogues or interferon-alpha are inefficient to reconstitute immunologic control of a persistent HBV infection or mediate clearance of HBV DNA. It was hypothesized that high levels of circulating HBV surface antigens (HBsAg) produced by episomal and integrated HBV DNA lead to immune tolerance of HBV and contribute to chronic HBV infection. Hence, low level HBsAg in a fraction of patients may create a window for the reconstitution of a HBV-specific immune response and control of infection. Previous studies in transplant patients indicate that antiviral therapy, in combination with a third generation PreS/S vaccine, may control HBV viremia and induce seroconversion to anti-HBs.

Methods: We determined the frequency of low level HBsAg (<500 IU) carriers by a data request in two diagnostic laboratories. Three HBsAg positive, NUC treated, low level HBsAg carriers were immunized with 6 to 11 doses of Sci-B-Vac™. The kinetics of HBsAg reduction, anti-HBs seroconversion and T cell response after vaccination was determined.

Results: Approximately 30% of patients with chronic hepatitis B in two large cohorts showed low HBsAg concentrations of <500 IU. Three low level HBsAg carriers with baseline concentrations of 448, 20,2 and 19,2 IU/L HBsAg seroconverted to anti-HBs after being vaccinated with Sci-B-Vac™. Two years after vaccination, the anti-HBs titers were 100, 600 and 260 IU/L, respectively.

Conclusion: These preliminary data suggest that combining NUC's with PreS1/PreS2/S vaccination in low level carriers of HBsAg may provide a new therapy to achieve functional control of chronic hepatitis B by seroconversion to anti-HBs.

Keywords Hepatitis B; Therapeutic Vaccination; HBV PreS1/S2/S Vaccine

Introduction

Available therapies for chronic hepatitis B (CHB) are limited to either pegylated interferon-alfa (peg-IFN- α) or long-term nucleos(t)ide analogues (NUCs) treatment. Fortunately, currently used NUCs (i.e. Entecavir and Tenofovir) suppress viral replication with high-barrier-to-resistance in the majority of patients [1]. Complete clearance of HBV requires according to current understanding, also an efficient immune response, which is defective in HBsAg carriers. Such carriers may develop a lifelong persistence of episomal covalently closed circular DNA (cccDNA). In recent years several studies in chimpanzees and patients indicate high-frequency of HBV DNA to be integrated into the host genome during infection [2-4]. The free

episomal as well as the integrated HBV DNA turn into an important source for the production of large amounts of HBsAg, which remains unaffected by NUC therapy [5]. It has previously been shown that the persistence of a high load of HBsAg causes antigen-specific immune tolerance [6,7]. This may explain, at least in part, why attempts of therapeutic vaccination with conventional HBsAg vaccines failed in numerous clinical trials [4,8,9].

Cessation of NUC therapy has been suggested as a potential strategy to induce "spontaneous" HBV immune control [10-12]. However, discontinuation of NUC monotherapy in treated HBeAg negative or HBeAg positive patients was associated with poor HBsAg seroclearance and anti-HBs seroconversion rates [13]. The only statistically significant correlate for HBsAg seroclearance during off-therapy was a low baseline HBsAg concentration, indicating that a high HBsAg level may be an important factor in maintaining

immunotolerance in chronic Hepatitis B carriers [5]. It was therefore hypothesized that patients under NUC therapy, who have a low HBsAg load, may be good candidates for an effective therapeutic vaccination. Previous studies in transplant and chronic hepatitis B (CHB) patients indicate that antiviral therapy, in combination with immunization using a third generation PreS/S vaccine (Sci-B-VacTM), can facilitate control of HBV viremia [14-17].

In the present pilot study, we determined first the frequency of low level HBsAg carriers by a data request in two diagnostic laboratories to calculate whether therapeutic vaccination is an option for a substantial number of patients with chronic hepatitis B. Subsequently, we investigated in a pilot vaccination study whether such low level HBsAg carriers (eg. HBsAg <500 IU/L) immunized with a PreS/S HBV vaccine may seroconvert to anti-HBs and show reduction or complete loss of HBsAg resulting in functional cure.

Methods

Patients

Three patients with chronic HBV infection and low level HBsAg (<500 IU/L) received therapeutic vaccination. Patient details are summarized in Table 1. The enrolled patients were HBeAg negative, HBV DNA negative (below detection level) and with low serum HBsAg levels <500 IU/L prior to vaccination. All patients had no evidence of liver cirrhosis or significant liver dysfunction (GOT/ GPT>50 U/L / Bilirubin >1.0 mg/dl). All vaccinated patients received at least two years of NUC therapy (Table 1).

	age	gender	HBsAg IU/ml	HBeAg	HBV DNA IU/ml	ALT	Bili	NUC Treatment	Drug
Sci-B-VacTM									
Pat#1	62	male	448	negative	bdl*	wnl**	wnl	>2years	Viread
Pat#2	40	male	20.2	negative	bdl	wnl	wnl	>2years	Barclude
Pat#3	37	female	19.2	negative	bdl	wnl	wnl	>2years	Viread
Control A									
Pat#1	63	male	19865,0	negative	bdl	wnl	wnl	>2years	Viread
Control B									
Pat#1	69	male	450,0	negative	bdl	wnl	wnl	>2years	Barclude
Pat#2	33	female	2,0	negative	bdl	wnl	wnl	>2years	Barclude
Pat#3	66	female	52,0	negative	bdl	wnl	wnl	>2years	Barclude
Pat#4	56	male	250,0	negative	bdl	wnl	wnl	>2years	Viread

Table 1: Baseline characteristics (age, gender, HBsAg Status,) of the 3 vaccinated patients with low level of HBsAg one control (A) with high level HBsAg and 4 patients without vaccination (controls B), * below detection level, ** within normal limits, + HBsAg (IU/ml) prior to vaccination

Controls

Control patients were 4 low level carriers <500 IU/L before vaccination. These patients were randomly selected from our cohort of HBsAg carriers receiving least two years of NUC therapy. In addition, one high level HBsAg carrier (>20.000 IU/L) (male, 63 years) was selected.

Determination of frequency of low-level carriers in patients with chronic hepatitis B

An anonymous data request was performed in two virologic diagnostic laboratories to determine the frequency of low-level carriers of HBsAg.

Study design

We conducted an individual therapeutic trial in patients with CHB with low level of HBsAg (<500 IU/L) to assess the safety and efficacy of PreS/S (Sci-B-VacTM) vaccination in chronic carriers. Three patients with a HBsAg concentration <500 IU/L received 6 to 11 intramuscular

injections of Sci-B- VacTM (20 µg). One patient with high level HBsAg received 5 vaccinations. Furthermore, four low-level HBsAg carriers, unvaccinated, NUC treated patients were included. All patients and controls were monitored at least two years (after the first vaccination). All patients provided written informed consent.

Monitoring

HBsAg, anti-HBs and HBV DNA were determined before and after each vaccination. Transaminases (GOT, GPT, GGT) and Bilirubin monitoring was performed at the same time. The T cell response was examined by ELISpot and proliferation assay after the last vaccination.

Vaccine

Sci-B-Vac™ is an aluminum hydroxide adjuvanted recombinant hepatitis B vaccine, manufactured by VBI Sci-Vac Israel Ltd [17]. The Pre-S1/Pre-S2/S vaccine is produced in mammalian Chinese hamster ovary (CHO) cells, transfected with appropriate sequences that code for the HBV envelope proteins - the small S hepatitis B surface antigen (SHBs), the middle Pre-S2 (MHBs) and the large Pre-S1envelope

protein (LHBs). The purified HBs particles, which are of the adw2 subtype, secreted by the transfected CHO cells, revealed the forms, mainly the SHBs (75-77% p24, gp27), the MHBs (17-21% gp33, gp36) and the LHBs (3-7% p39, gp42).

Serological and molecular diagnostic assays

Quantitative HBsAg, Anti-HBs and qualitative anti-HBc analysis of serum samples was performed with the Chemiluminescent Microparticle Immunoassay (CMIA) (Abbott Laboratories, Germany). Detection limits were 10 IU/L for anti-HBs, HBsAg 0.05 IU/ml and 1S/CO (signal/cut off) for anti-HBc.

Interferon (IFN)- γ ELISpot

Peripheral blood mononuclear cells (PBMCs) from heparinized blood were separated by Ficoll-HypaqueTM density gradient centrifugation. 4×10^5 PBMCs in 200 μ L culture volume were pre-incubated for 2 days without and with 1 μ g/mL of sub viral HBsAg as described previously [18]. In parallel, PBMCs were stimulated by phytohemagglutinin (4 μ g/mL), used as positive control. After further incubation for 2 days in ELISpot plates, IFN- γ spots were determined by an ELISpot plate reader. The cultures were performed in triplicates. IFN- γ production was considered positive when obtaining ≥ 5 spots increment and a quotient of HBV specific and non-stimulated values of ≥ 2 .

Proliferation assay

Proliferation assay was performed in round bottom microtiter plates with 2.5×10^5 PBMCs per 200 μ L culture as described previously [18]. Cells were incubated for 6 days without and with 0.25, 0.5 or 1 μ g/ml of the subviral HBsAg. Stimulation with pokeweed mitogen (5 μ g/mL) served as positive control. Cell cultures were grown in triplicates and proliferation was measured radioactively as counts cpm of $[^3\text{H}]$ thymidine uptake. Stimulation index (SI) of ≥ 2.5 was considered positive.

Results

We have analysed the HBsAg concentrations in 1482 chronically HBV-infected patients from the University Hospital Essen (n=1131) and the University Hospital, Munich (n=351) in order to calculate the frequency of low level HBsAg in patients with chronic hepatitis B. All patients were under NUC standard therapy. The distribution of HBsAg concentrations in these two cohorts showed that 31% (Essen) and 27.6% (Munich) of the patients are low-level carriers with HBsAg titers <500 IU/L (Figure 1). Concentrations of HBsAg ranging between 500 and 5×10^4 IU/L were observed in 39% of the patients from Munich and 45% from Essen. 28% showed concentrations of $>5 \times 10^5$ (Munich) and 25% (Essen), respectively. Three patients with chronic hepatitis B and low level of HBsAg of 448, 20, 2 and 19.2 IU/L, were included in this pilot therapeutic trial (Table 1). All patients were treated with NUCs (Baraclude/Viread) for at least two years prior and during vaccination and were HBV-DNA negative at time of vaccination (below detection level). Patients received 6-11 vaccine doses at designated intervals (Sci-B-VacTM 20 μ g per injection) (Figure 2A).

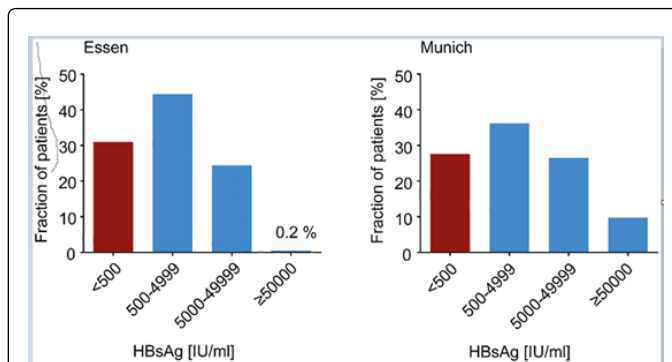


Figure 1: Distribution of HBsAg concentrations in two cohorts of patients with chronic HBV at University Hospital Essen (A) and Klinikum rechts der Isar Technical University Munich (B).

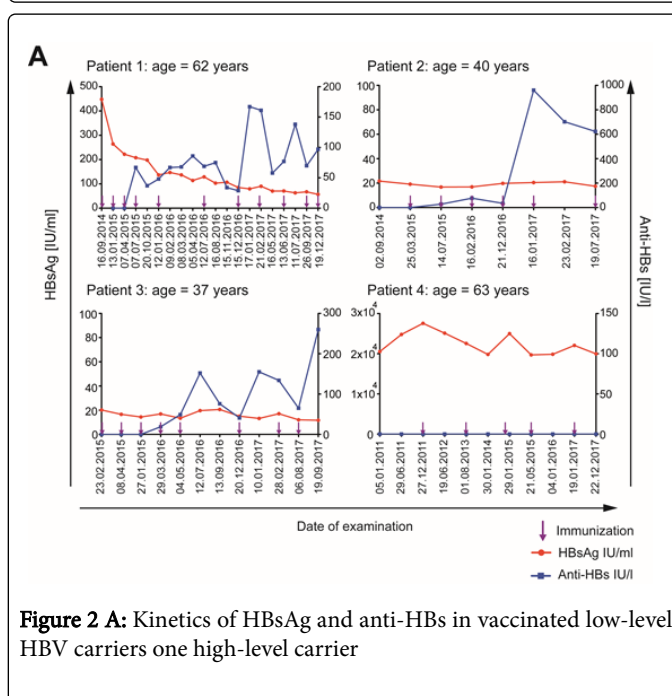


Figure 2 A: Kinetics of HBsAg and anti-HBs in vaccinated low-level HBV carriers one high-level carrier

In patient #1 the HBsAg concentration declined continuously from 448 IU/L to 20 IU/L after the onset of treatment. The two other patients had very low HBsAg concentration (<20 IU/L) prior to vaccination with which did not change during observation.

All 3 patients seroconverted to anti-HBs. The kinetics of their antibody response are shown in Figure 2 A. One year after the onset of vaccination, anti-HBs titers were 75, 77 and 152 IU/L and 100, 600 and 260 IU/L after 3 years, respectively.

The control patient with an initial high concentration of HBsAg of 19865 IU/L who received five vaccinations did not show any reduction of HBsAg concentration and no seroconversion during an observation period of 5 years (Figure 2 A, bottom right). The four non-vaccinated controls with low-level HBsAg titers showed different patterns of HBsAg concentrations over time. Two patients showed constant HBsAg levels, one an increase and one a decrease of HBsAg concentration and none of them spontaneously seroconverted to anti-HBs (Figure 2B).

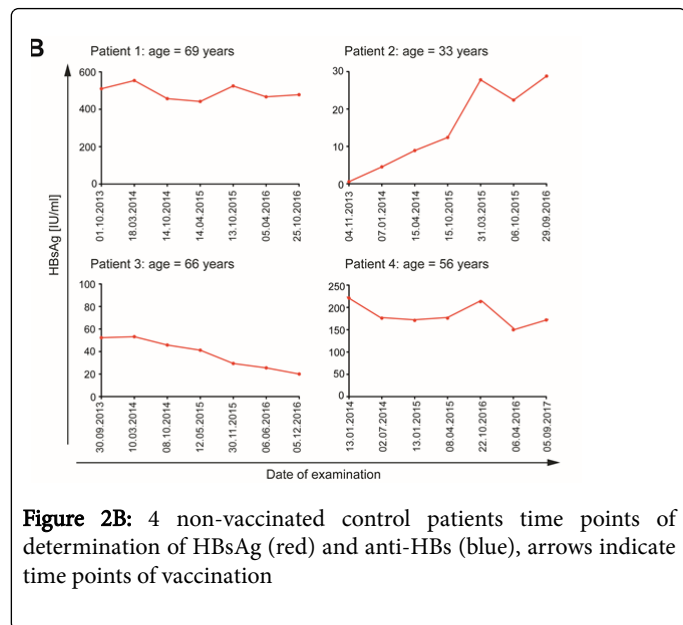


Figure 2B: 4 non-vaccinated control patients time points of determination of HBsAg (red) and anti-HBs (blue), arrows indicate time points of vaccination

ELISpot and T cell proliferation assays were performed in all 3 vaccinated patients. HBV-specific IFN- γ secretion and T cell proliferation were detected only in patient #3 after the last vaccination (7 spots increment and stimulation index of 3.3) (Figure 3).

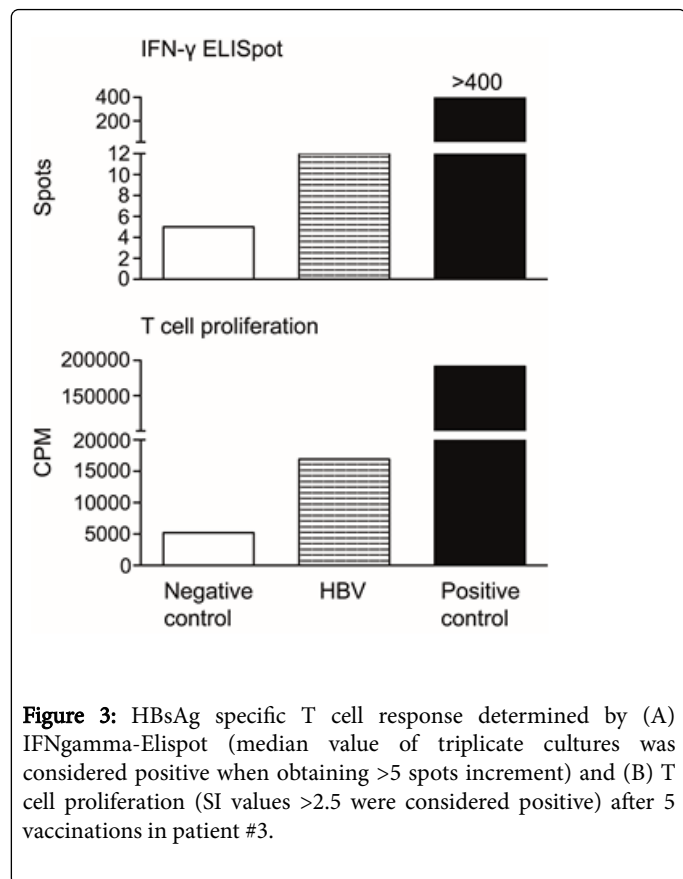


Figure 3: HBsAg specific T cell response determined by (A) IFN γ -Elispot (median value of triplicate cultures was considered positive when obtaining >5 spots increment) and (B) T cell proliferation (SI values >2.5 were considered positive) after 5 vaccinations in patient #3.

In detail, median spot numbers of 5 and 12 per 4×10^5 PBMCs were observed without and with HBV-specific stimulation, respectively. In parallel, median cpm values of 5,201 and 16,932 per 2.5×10^5 PBMCs

were determined, resulting in 11,731 cpm increment and an SI of 3.3 for HBV-specific proliferation. The two other patients (#1, #2) presented with HBV-specific T cell immunity below the defined cutoff values (3 spots increment and SI of 2.1 or 1 spot increment and SI of 1.6, data not shown).

Transaminases and Bilirubin monitoring

Determination of transaminases (GOT, GPT, GGT) and bilirubin in the three vaccinated low level HBsAg carriers prior to and during treatment and follow-up showed no significant changes (Figure 4).

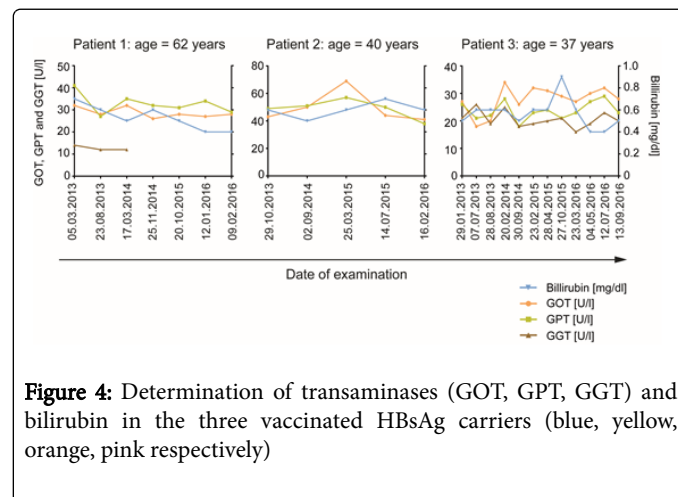


Figure 4: Determination of transaminases (GOT, GPT, GGT) and bilirubin in the three vaccinated HBsAg carriers (blue, yellow, orange, pink respectively)

Safety of vaccination protocol

Patients tolerated the vaccine well. None of the patients reported local or systemic reactions at any time.

Conclusion

The finding of low level HBsAg carriers in two cohorts of about 30% who may be less immunotolerant in comparison to high-level carriers is an indication that therapeutic vaccination trials may be an option. In this individual therapeutic trial, HBV carriers with low-level HBsAg <500 IU/L and undetectable circulating HBV DNA under NUC treatment, were treated by repeated vaccination with a third generation PreS/S vaccine (Sci-B-VacTM). All low-level HBsAg carriers seroconverted to anti-HBs with increasing antibody concentrations levels of HBsAg were either declining or remained at very low levels indicating functional control of HBV infection up to two years of follow up. These findings suggest that low HBsAg concentration may have a minor tolerizing effect of HBsAg as compared to high level carriers [19]. The rationale of this concept is that a reduction of HBsAg concentration may lead to an appropriate B and T cell response with subsequent functional cure of chronic hepatitis B. In view of the cumulative experience that NUCs treatment usually fails to reduce HBsAg levels in CHB, a number of experimental methods to control and reduce circulating HBsAg levels are now being tested [20-23]. For example, new therapies based on RNA interference (RNAi) were shown to directly target HBsAg transcripts with high specificity and thereby reduce the production of viral proteins and ameliorate putative tolerance [22]. Other methods include the development of nucleic acid polymers (NAPs) with a unique ability to block the secretion of HBsAg from HBV-infected hepatocytes [23]. NAPs therapy has been evaluated so far in two clinical studies. This therapy was accompanied by 2-7 log

reductions of serum HBsAg, 3-9 log reductions of HBV DNA and the seroconversion to anti-HBs in 9 of 12 patients [20,21].

These new therapies restore the function of exhausted virus-specific B and T cells. In the patients described in our present study who had initially low HBsAg levels, virus-specific B cell response was generated through vaccination with the third generation PreS/S HBV vaccine. Such a multi-functional B and T cell response may prevent de novo infection of so far uninfected hepatocytes. In addition to a B cell response, we could show in patient #3, who had the highest antibody response, an HBV-specific T cell response. In the two remaining patients, however, T cell responses were below the cutoff. Although highly sensitive assays were used, the number of HBV-specific T cells may have been too low for appropriate detection.

The present individual therapeutic trial confirms a previous observation in CHB patients in Vietnam treated with a combination of a NUC (Lamivudine) and Sci-B-VacTM, that anti-HBs seroconversion can be induced by repeated immunization with a potent-aluminum hydroxide adjuvanted, mammalian cell derived, highly immunogenic HBV vaccine [14,17]. Yet there is still no evidence that sterilizing immunity against HBV can be achieved using this strategy alone. Furthermore, it is noted that despite the induction of anti-HBs seroconversion, HBsAg remains detectable albeit at low levels. This persistence of circulating HBsAg may be explained, at least in part, by continuous secretion of HBsAg, coded by integrated HBV DNA and undistinguishable by current methods from HBsAg synthesized by episomal HBV-DNA. Furthermore, in theory, mutations within the surface protein, regardless if coded by episomal or integrated HBV-DNA, may impede the binding activity to vaccine-induced anti-HBs.

Yet, we have not evaluated the impact of the experimental protocol on HBV-cccDNA and therefore, our results do not provide proof for complete resolution of persistent HBV infection. However, our results suggest that vaccination with a preS/S HBV vaccine may contribute to functional control of persistent HBV infection and should be evaluated in future clinical trials in combination with new anti-viral agent(s) directed to disrupt cccDNA.

In conclusion, low levels of circulating HBsAg appears to have a less severe immune tolerance in patients and may allow induction protective immune response against HBV. The quantification of HBsAg in two large patient cohorts indicate that there is a substantial number of patients (30%) who are low level carrier of HBsAg under NUC therapy and may be candidates for vaccination strategies proposed in this pilot study.

References

1. Durantel D, Zoulim F (2016) New antiviral targets for innovative treatment concepts for hepatitis B virus and hepatitis delta virus. *J Hepatol* 64: S117-S131.
2. Wooddell CI, Yuen MF, Chan HL, Gish RG, Locarnini SA, et al. (2017) RNAi-based treatment of chronically infected patients and chimpanzees reveals that integrated hepatitis B virus DNA is a source of HBsAg. *Sci Transl Med* 27: 409.
3. Freitas N, Lukash T, Gunewardena S, Chappell B, Slagle BL, et al. (2018) Relative Abundance of Integrant-Derived Viral RNAs in Infected Tissues Harvested from Chronic Hepatitis B Virus Carriers. *J Virol* 27: e02221-e02227.
4. Tu T, Budzinska MA, Shackel NA, Urban S (2017) HBV DNA Integration: Molecular Mechanisms and Clinical Implications. *Viruses* 10: E75.
5. Cao Z, Liu Y, Ma L, Lu J, Jin Y, et al (2017) A potent hepatitis B surface antigen response in subjects with inactive hepatitis B surface antigen carrier treated with pegylated-interferon alpha. *Hepatology* 66: 1058-1066.
6. Knolle PA, Thimme R (2014) Hepatic immune regulation and its involvement in viral hepatitis infection. *Gastroenterology* 146: 1193-1207.
7. Thomson AW, Knolle PA (2010) Antigen-presenting cell function in the tolerogenic liver environment. *Nature reviews Immunology* 10: 753-766.
8. Kosinska AD, Bauer T, Protzer U (2017) Therapeutic vaccination for chronic hepatitis B. *Current opinion in virology* 23: 75-81.
9. Zhang E, Kosinska A, Lu M, Yan H, Roggendorf M (2015) Current status of immunomodulatory therapy in chronic hepatitis B, fifty years after discovery of the virus: Search for the "magic bullet" to kill cccDNA. *Antiviral research* 123: 193-203.
10. Berg T, Simon KG, Mauss S, Schott E, Heyne R, et al. (2017) Long-term response after stopping tenofovir disoproxil fumarate in non-cirrhotic HBeAg-negative patients - FINITE study. *J Hepatol* 67: 918-924.
11. Honer Zu, Siederdisen C, Rinker F, Maasoumy B, Wiegand SB, et al (2016) Viral and Host Responses After Stopping Long-term Nucleos(t)ide Analogue Therapy in HBeAg-Negative Chronic Hepatitis B. *J Infect Dis* 214: 1492-1497.
12. Liaw YF, Leung N, Kao JH, Piratvisuth T, Gane E, et al. (2008) Asian-Pacific consensus statement on the management of chronic hepatitis B: a 2008 update. *Hepatology international* 2: 263-283.
13. Hadziyannis SJ, Sevastianos V, Rapti I, Vassilopoulos D, Hadziyannis E (2012) Sustained responses and loss of HBsAg in HBeAg-negative patients with chronic hepatitis B who stop long-term treatment with adefovir. *Gastroenterology* 143: 629-636.
14. Hoa PT, Huy NT, Thu le T, Nga CN, Nakao K, et al. (2009) Randomized controlled study investigating viral suppression and serological response following pre-S1/pre-S2/S vaccine therapy combined with lamivudine treatment in HBeAg-positive patients with chronic hepatitis B. *Antimicrob Agents Chemother* 53: 5134-5140.
15. Krawczyk A, Roggendorf H, Ludwig C, Herzer K, Gerken G, et al. (2013) Therapeutic vaccination of a liver transplant recipient suffering from fulminant hepatitis B during pregnancy. *Liver Transpl* 19: 1411-1412.
16. Lo CM, Lau GK, Chan SC, Fan ST, Wong J (2007) Efficacy of a pre-S containing vaccine in patients receiving lamivudine prophylaxis after liver transplantation for chronic hepatitis B. *Am J Transplant* 7: 434-439.
17. Shouval D, Roggendorf H, Roggendorf M (2015) Enhanced immune response to hepatitis B vaccination through immunization with a Pre-S1/Pre-S2/S Vaccine. *Med Microbiol Immun* 204: 57-68.
18. Schumann A, Fiedler M, Dahmen U, Grosse-Wilde H, Roggendorf M, et al. (2007) Cellular and humoral immune response to a third generation hepatitis B vaccine. *J Viral Hepat* 14: 592-598.
19. Dembek C, Protzer U, Roggendorf M (2018) Overcoming immune tolerance in chronic hepatitis B by therapeutic vaccination. *Current opinion in virology* 30: 58-67.
20. Al-Mahtab M, Bazinet M, Vaillant A (2016) Safety and Efficacy of Nucleic Acid Polymers in Monotherapy and Combined with Immunotherapy in Treatment-Naive Bangladeshi Patients with HBeAg+ Chronic Hepatitis B Infection. *PLoS One* 11: e0156667.
21. Bazinet M, Pantea V, Cebotarescu V, Coghari L, Jimbei P, et al. (2017) Safety and efficacy of REP 2139 and pegylated interferon alfa-2a for treatment-naive patients with chronic hepatitis B virus and hepatitis D virus co-infection (REP 301 and REP 301-LTF): a non-randomised, open-label, phase 2 trial. *The lancet Gastroenterology & hepatology* 2: 877-889.
22. Gish RG, Yuen MF, Chan HLY, Lai CL, Locarnini SA, et al. (2015) Synthetic RNAi triggers and their use in chronic hepatitis B therapies with curative intent. *Antiviral research* 121: 97-108.
23. Noordeen F, Scougall CA, Grosse A, Qiao Q, Ajilian BB, et al. (2015) Therapeutic Antiviral Effect of the Nucleic Acid Polymer REP 2055 against Persistent Duck Hepatitis B Virus Infection. *PLoS One* 10: e0140909.